

**RESPIRATORY CARE BOARD OF CALIFORNIA  
BREAKDOWN OF COMPLAINTS RECEIVED JULY 1, 2009 THROUGH June 30, 2011**

	FY 2009/2010					FY 2010/2011				
	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	%	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	%
<b>Criminal Convictions *</b>	134	150	183	188	73%	152	163	150	163	75%
<b>Mandatory &amp; Consumer Complaints **</b>	22	22	24	26	11%	15	21	22	22	10%
<b>Unlicensed Practice/Lapse in Licensure</b>	46	33	36	29	16%	24	24	28	51	15%
	<b>202</b>	<b>205</b>	<b>243</b>	<b>243</b>	<b>893</b>	<b>191</b>	<b>208</b>	<b>200</b>	<b>236</b>	<b>835</b>

**\* CRIMINAL CONVICTIONS**

Unlicensed Practice	0	1	0	0	0%	0	0	0	0	0%
Sexual Misconduct	0	1	0	1	0%	1	2	1	0	1%
Self Use Drugs / Alcohol	8	4	19	7	6%	4	4	4	5	3%
Fraud	0	1	3	2	1%	1	0	0	0	0%
Self Report Conviction on Lic Renewal	36	38	39	31	22%	41	44	36	41	26%
Applicant Investigations <sup>1</sup>	64	73	65	108	47%	59	52	74	74	41%
Other	7	10	16	18	8%	12	8	6	11	6%
Battery	3	3	15	7	4%	6	13	8	9	6%
Theft	3	5	7	3	3%	5	8	6	2	3%
DUI	13	14	19	11	9%	23	32	15	21	14%
	<b>134</b>	<b>150</b>	<b>183</b>	<b>188</b>	<b>655</b>	<b>152</b>	<b>163</b>	<b>150</b>	<b>163</b>	<b>628</b>

<sup>1</sup> Applicant Investigations may include multiple criminal convictions - existing database is limited in breaking down categories.

**\*\* MANDATORY & CONSUMER COMPLAINTS - In rare cases includes criminal convictions**

Negligence/Incompetence	9	7	4	6	28%	6	7	10	5	35%
Unlicensed Practice	2	2	6	7	18%	0	0	2	6	10%
Sexual Misconduct	0	1	0	0	1%	0	2	0	0	3%
Self Use Drugs / Alcohol	3	2	2	4	12%	4	4	5	3	20%
Fraud	4	6	3	2	16%	1	4	2	4	14%
Unprofessional Conduct	3	3	4	3	14%	2	3	2	3	13%
Other	1	1	5	4	12%	2	1	1	1	6%
	<b>22</b>	<b>22</b>	<b>24</b>	<b>26</b>	<b>94</b>	<b>15</b>	<b>21</b>	<b>22</b>	<b>22</b>	<b>80</b>